

| Audit | Status | Opinion | | | | Recommendations |
|---------------------------------------------------------------------------------------------------------------------|-------------|----------|--------------|----------------|----------|-----------------|
| | | Good | Satisfactory | Unsatisfactory | Poor | |
| Annual Governance Statement | completed | | √ | | | 11 |
| Anti-Fraud and Corruption Policies | In progress | | | | | |
| Corporate Risks | In progress | | | | | |
| Risk Management Systems and Procedures | In progress | | | | | |
| COVID - Remote Working - impact on governance and internal control arrangements using a questionnaire. | In progress | | | | | |
| Ffos Y Fran - Contract and Royalty Payment Audit (Follow up) | In progress | | | | | |
| ICT Audit Information Security ISO 27001 | completed | √ | | | | 1 |
| Major Financial System - Cash Income | In progress | | | | | |
| Major Financial System - Council Tax 2019/20 | completed | √ | | | | 2 |
| Major Financial System - Creditors | In progress | | | | | |
| Major Financial System - NDR 2019/20 | completed | | √ | | | 2 |
| Major Financial System - Treasury Management | In progress | | | | | |
| Major Financial System - Housing Benefit | In progress | | | | | |
| Major Financial System - Payroll | completed | | √ | | | 8 |
| National Fraud Initiative (NFI) | completed | | | | | |
| Purchasing Cards | allocated | | | | | |
| Capital Contract Monitoring and Payment of Accounts and Valuations | In progress | | | | | |
| Final Accounts - Contracts | allocated | | | | | |
| Fleet Management(follow up) | completed | √ | | | | 1 |
| Property Maintenance Inspection Plans & Regimes | In progress | | | | | |
| Vehicles Fuel System | allocated | | | | | |
| Cyfarthfa High School | completed | | | √ | | 41 |
| Cyfarthfa High School follow up | In progress | | | | | |
| Goetre Primary School | completed | | | √ | | 26 |
| Heolgerrig Primary School | completed | | √ | | | 13 |
| School Private Funds-monitoring arrangements | In progress | | | | | |
| Schools Meals Income System | In progress | | | | | |
| Schools Negative Balances | In progress | | | | | |
| <u>Certification of Grant Claims</u> | | | | | | |
| School Improvement Grant | completed | | √ | | | 0 |
| Sunse | completed | | √ | | | 1 |
| Trailgazers | completed | | √ | | | 0 |
| In House Fostering Services | allocated | | | | | |
| Looked After Children Placements - Independent Fostering Services (IFAs)/Fostering and Provision for Foster Parents | allocated | | | | | |
| Looked After Children Respite and Emergency Services | allocated | | | | | |
| Safeguarding Adults & Children | In progress | | | | | |
| Overall Totals | 35 | 3 | 7 | 2 | 0 | 106 |